PATENT COOPERATION TREATY

PCT

TRANSLATION INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

| Applicant's or agent's file reference FPI-12120 F | | | HER ACTION | See Form PCT/IF | | |
|--|---|--------------------------------|----------------------------|-------------------------------|---------------------|--|
| International application No. International | | filing date (day/month/ye | ar) Priority date (day | /month/year) | | |
| PCT/JP2004/013758 21.09.200 | | | 2004 | 22.09.2 | 003 | |
| International Patent Classification (IPC) or national classification and IPC B29C55/12, C08G59/42, C08L67/02 | | | | | | |
| Applicant NAKAN | MOTO PACKS | CO., LTD. | | | | |
| 1 | This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36. | | | | | |
| 2. T | his REPORT consists | of a total of 6 | sheets, | including this cover sheet. | | |
| 3. T | his report is also accor | panied by ANNEXES, com | prising: | | | |
| a | . (sent to the a | applicant and to the Internat | ional Bureau) a total of | 4 | sheets, as follows: | |
| | sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions). | | | | | |
| | sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box. | | | | | |
| | | intermational Bureau auto) a | tatal of findicate tune on | d number of electronic com | (a)\ | |
| 0 | b (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) | | | | | |
| | , containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions). | | | | | |
| 4. T | his report contains indi | cations relating to the follow | ving items: | | | |
| | Box No. I | Basis of the report | | | | |
| | Box No. II | Priority | | | | |
| | Box No. III Non-establishment of opinion wit | | ion with regard to novelt | y, inventive step and industr | rial applicability | |
| | Box No. IV | Lack of unity of invention | | | | |
| | Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement | | | | | |
| L | Box No. VI | Certain documents cited | | | | |
| | Box No. VII | Certain defects in the inter | national application | | | |
| | Box No. VIII | Certain observations on the | international application | 1 | | |
| Date of submission of the demand | | | Date of complet | ion of this report | | |
| Name and mailing address of the IPEA/JP | | | Authorized offic | | | |
| Facility No. | | | Talanha as N- | | | |

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| Box | No. I | Basis of the report | | | | |
|-----|--|---|---|--|--|--|
| 1. | | ard to the language, this report is based on the internation under this item. | nal application in the language in which it was filed, unless otherwise | | | |
| | This report is based on translations from the original language into the following | | | | | |
| | | ich is the language of a translation furnished for the purp international search (Rule 12.3 and 23.1(b)) | oses 01: | | | |
| | H | publication of the international application (Rule 12.4 | | | | |
| | | international preliminary examination (Rule 55.2 and/ | | | | |
| 2. | The state of the s | | | | | |
| | the | international application as originally filed/furnished | | | | |
| | the the | description: | | | | |
| | pag | ges <u>1-36</u> | as originally filed/furnished | | | |
| | pag | zes* | received by this Authority on | | | |
| | pag | ges* | received by this Authority on | | | |
| | the | claims: | | | | |
| | nos | s. 4–10 | as originally filed/furnished | | | |
| | nos | s.* 1-3,11 | as amended (together with any statement) under Article 19 | | | |
| | nos | s.* | received by this Authority on | | | |
| | nos | s.* | received by this Authority on | | | |
| | ☐ the | drawings: | | | | |
| | | ects | as originally filed/furnished | | | |
| | | | received by this Authority on | | | |
| | | eets* | · · · · · · · · · · · · · · · · · · · | | | |
| | | | | | | |
| 1 | | equence listing and/or any related table(s) - see Supplem | Emai Box Relating to Sequence Listing. | | | |
| 3. | LJ Th | e amendments have resulted in the cancellation of: | | | | |
| | <u> </u> | the description, pages | | | | |
| | <u> </u> | the claims, nos. | | | | |
| | <u> </u> | the drawings, sheets/figs | | | | |
| | | the sequence listing (specify): | | | | |
| | | any table(s) related to sequence listing (specify): | | | | |
| 4. | The the | is report has been established as if (some of) the amend by have been considered to go beyond the disclosure as fi | Iments annexed to this report and listed below had not been made, since led, as indicated in the Supplemental Box (Rule 70.2(c)). | | | |
| | <u>_</u> | the description, pages | | | | |
| | L | the claims, nos. | | | | |
| 1 | <u>_</u> | the drawings, sheets/figs | | | | |
| | the sequence listing (specify): | | | | | |
| | any table(s) related to sequence listing (specify): | | | | | |
| | If item 4 | applies, some or all of those sheets may be marked "sup | erseded." | | | |

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| | citations and explanations supporting such statement | | | | |
|----|--|--------|------|-------|--|
| 1. | Statement | | | | |
| | Novelty (N) | Claims | 1-11 | YES | |
| | | Claims | | МО | |
| | Inventive step (IS) | Claims | 1-11 | YES | |
| | | Claims | | NO NO | |
| | Industrial applicability (IA) | Claims | 1-11 | YES | |
| | | Claims | | NO | |
| | | | | | |

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability;

2. Citations and explanations (Rule 70.7)

Box No. V

- Document 1: WO 1998/044019 Al (DJK Corporation), 8
 October 1998, entire text & EP 984027 Al,
 entire text & US 2002/35218 Al
- Document 2: JP 2003-251673 A (Nakamoto Packs Kabushiki Kaisha), 9 September 2003, claims 5 to 7; paragraphs [0011], [0014] to [0021]
- Document 3: JP 7-292134 A (Showa Denko K.K.), 7 November 1995, paragraphs [0008], [0055], [0061] and [0065]
- Document 4: JP 7-290564 A (Showa Denko K.K.), 7 November 1995, paragraphs [0001] and [0012]

The inventions set forth in claims 1 to 11 are not disclosed in any of the documents cited in the international search report, and are therefore novel. In particular, none of the documents discloses a feature wherein ethylene glycol cyclohexane dimethanol phthalic copolyester (b) and polyester elastomer (c) are contained as auxiliary raw materials which are essential components.

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| | | PC1/JP2004/013/58 | | | |
|---|--|---------------------------|--|--|--|
| Box No. VII | Certain defects in the international application | | | | |
| The following defects in the form or contents of the international application have been noted: | | | | | |
| The "000000000" described on page 30, | | | | | |
| para | graphs [0068] and [0069] and page 31, | paragraph | | | |
| 700] | 0] is understood to be a typographical | al error for " $\Box\Box$ | | | |
| | 000000000" or "00000000000". | | | | |
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Box No. VIII Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

PET pellets A1 used in embodiments 1 to 3 and PET pellets A2 used in embodiments 4 and 5, PET pellets A3 used in embodiment 9 and PET film S11 used in embodiment 11 do not contain essential component ethylene glycol cyclohexane dimethanol phthalic copolyester (b) or the essential component polyester elastomer (c) (see paragraphs [0051] to [0053], [0057] to [0061], [0065], [0067] and [0068], [0071] to [0073]). As a consequence, embodiments 1 to 5, embodiment 9 and embodiment 11 may not be described as embodiments of the inventions set forth in the claims.

In the PET pellets A2 used in embodiments 4 and 5, PET with an MFR value which does not fall within the range of 45 to 130g/10 minutes is not used as PET polyester (a) (see paragraph [0052]). As a consequence, embodiments 4 and 5 may not be described as embodiments of the invention set forth in the claims.

The PET pellets B1 used in embodiment 6, the PET pellets B2 used in embodiments 7 and 8 and the PET film S12 used in embodiment 12 do not contain ethylene glycol cyclohexane dimethanol phthalic copolyester (b) which is an essential component (see paragraphs [0051] to [0055] and [0062] to [0064]). As a consequence, embodiments 6 to 8 and embodiment 12 may not be described as embodiments of the invention set forth in the claims.

In the PET pellets A3 of embodiment 9, PET with an MFR value which does not fall within the range of 45 to

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Box No. $V\Pi I$ Certain observations on the international application

130g/10 minutes is used as PET polyester a (see paragraphs [0052] and [0053]). As a consequence, embodiment 9 may not be described as an embodiment of the invention set forth in the claims.

The PET film S14 used in embodiments 14 and 16 contains ethylene glycol cyclohexane methanol phthalic copolyester (b) in a greater quantity than stipulated in the claims (see paragraphs [0072] to [0072], [0076], [0078] and [0079]). As a consequence, embodiment 14 and embodiment 16 may not be described as embodiments of the invention set forth in the claims.

Paragraph [0067] contains the wording "high molecular weight/high melt tensile strength PET/polyester rubber/block copolymer film S11", but S11 does not contain polyester rubber.